# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	2054

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Smith David Donnan						2. Issuer Name and Ticker or Trading Symbol KOSS CORP [ KOSS ]								Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director 10% Owner     Officer (give title Other (specify)						
	ORPORAT	irst) ION SHINGTON AV	(Middle)			Date (		iest Trans	saction (N	action (Month/Day/Year)				helow)	Officer (give title Other (specify below)  Chief Financial Officer					
(Street)	UKEE W	П	53212		_   4. I	If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check App Line)     X Form filed by One Reporting Person     Form filed by More than One Report Person				n		
(City)	(S	tate)	(Zip)																	
		Tab	le I - No	n-Der	ivativ	e Se	curit	ies Ac	quired	l, Dis	sposed o	f, or Be	neficia	lly Owned	t					
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Ye		Execution Date,		Transaction Disposed Code (Instr.			ties Acquired (A) or d Of (D) (Instr. 3, 4 and 5		Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)					
						_			Code	v	Amount	(A) or (D)	Price	Transac (Instr. 3						
Common				02/0	1/2021				M		50,000	A	\$4.9	7 75	5,000		D			
Common	Stock			02/0	02/01/2021				M		50,000	A	\$5	3 12:	125,000		D			
Common Stock		02/0	02/01/2021				M		4,000	A \$2.		7 12	129,000		D					
Common Stock		02/0	1/2021				M		20,000	A	\$2.	2 149	149,000		D					
Common Stock		02/0	02/01/2021				S <sup>(1)</sup>		4,000	0 D \$6		5 <sup>(2)</sup> 14:	145,000		D					
Common Stock		02/0	02/01/2021				S <sup>(1)</sup>		5,000	0 D S		5 14	140,000		D					
Common Stock		02/0	02/01/2021				S		25,000	D	\$48.	26 11:	5,000		D					
Common Stock			02/0	1/2021				S <sup>(1)</sup>		15,000	D	\$47.	55 10	100,000		D				
Common Stock			02/0	01/2021				S		10,000	D \$37.		8(3) 90	90,000		D				
Common Stock			02/0	1/2021				S		90,000 D \$		\$35.8	3 <sup>(4)</sup>	0		D				
Common Stock											30		I	ESOP						
		•	Table II								osed of, convertil			y Owned						
1. Title of Derivative Security (Instr. 3)	Title of Conversion Date Execution or Exercise (Month/Day/Year)		ed n Date,	4. te, Transactio		5. Number of		6. Date Exercisable at Expiration Date (Month/Day/Year)		sable and			Derivative Security	9. Numbe derivative Securities Beneficial Owned Following Reported Transactie (Instr. 4)	e s lly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amount or Number of Shares	1						
Stock Option (Right to Buy)	\$4.97	02/01/2021			M			50,000	(5)		07/25/2022	Common Stock	50,000	\$0.00	0		D			
Stock Option (Right to Buy)	\$5.3	02/01/2021			M			50,000	(6)		07/24/2023	Common Stock	50,000	\$0.00	0		D			
Stock Option (Right to Buy)	\$2.57	02/01/2021			M			4,000	(7)		07/29/2025	Common Stock	4,000	\$0.00	22,000	0	D			
Stock Option (Right to Buy)	\$2.2	02/01/2021			M			20,000	(8)		07/27/2026	Common Stock	20,000	\$0.00	30,000	0	D			

### **Explanation of Responses:**

- 1. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 1, 2020.
- 2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$60.00 to \$61.00, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 3. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$37.11 to \$37.25, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 4. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$35.60 to \$36.10, inclusive. The reporting person undertakes to provide to the

issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- $5. \ This \ option \ vests \ in \ five \ equal \ annual \ installments \ beginning \ on \ July \ 25, \ 2013.$
- 6. This option vests in five equal annual installments beginning on July 24, 2014.
- $7.\ This\ option\ vests\ in\ five\ equal\ annual\ installments\ beginning\ on\ July\ 29,\ 2016.$
- 8. This option vests in five equal annual installments beginning on July 27, 2017.

#### Remarks:

/s/ David D. Smith

02/03/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

 $Note: File \ three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$ 

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.